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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Applicant: J. M. Lipari, et al.

Serial No.: 09/216,242

Filed: December 18, 1998

For: NOVEL FORMULATIONS COMPRISING  
LIPID-REGULATING AGENTS

Case No.: 6439.US.O1

Group Art Unit: 1615

Examiner: not yet assigned


Date: February 19, 1999

**Certificate of Mailing (37 CFR 1.8(a))**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231, on:

Date of Deposit: February 19, 1999

  
Jocelyn Hogan  
Date 2/19/99

**TRANSMITTAL LETTER**

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Dear Sir:

Enclosed herewith is a Response to Notice to File Missing Parts of Application (in duplicate) of J. M. Lipari, et al. in the above-identified patent application entitled NOVEL FORMULATIONS COMPRISING LIPID-REGULATING AGENTS.

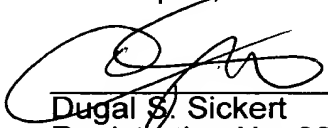
Also enclosed are: Executed Declaration and Power of Attorney  
Notice to File Missing Parts of Application  
Return Receipt Postcard

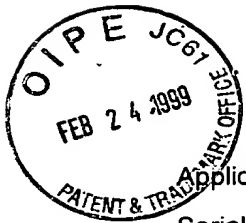
The Commissioner is hereby authorized to charge any additional fees required, as well as any patent application processing fees associated with this communication for which full payment has not been tendered, to Deposit Account No. 01-0025. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

J. M. Lipari, et al.

Abbott Laboratories  
D-377 AP6D-2  
100 Abbott Park Road  
Abbott Park, Illinois 60064-3500  
Telephone: (847) 937-8272

  
Dugal S. Sickert  
Registration No. 33,784  
Attorney for Applicants



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Applicant: J. M. Lipari, et al.

Serial No.: 09/216,242

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Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231, on:

Date of Deposit: February 19, 1999

 2/19/99  
JoEllen Hogan Date

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

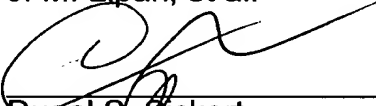
Dear Sir:

In response to the Notice to File Missing Parts of Application (Filing Date granted), dated January 14, 1999, in the above-identified patent application, applicants submit herewith an executed Declaration and Power of Attorney. The Commissioner is hereby expressly authorized to charge the required surcharge of \$130.00 to applicants' Deposit Account No. 01-0025. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

J. M. Lipari, et al.

Abbott Laboratories  
D-377 AP6D-2  
100 Abbott Park Road  
Abbott Park, Illinois 60064-3500  
Telephone: (847) 937-8272

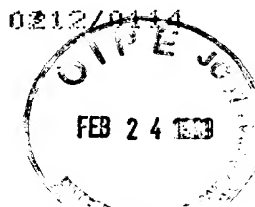
  
Dugal S. Sickert  
Registration No. 33,784  
Attorney for Applicants



125-  
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/216,242	12/18/98	LIPARI	J 6439-US.01

STEVEN F WEINSTOCK  
ABBOTT LABORATORIES  
D 377 AP6D  
100 ABBOTT PARK ROAD  
ABBOTT PARK IL 60064-3500



NOT ASSIGNED

1615  
DATE MAILED:

01/14/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 130.

- ☐ 1. The statutory basic filing fee is:  
☐ missing.  
☐ insufficient.  
Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.  
\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.  
\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.  
\$ \_\_\_\_\_ for multiple dependent claim surcharge.  
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☒ 3. The oath or declaration:  
☒ is missing or unexecuted.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.  
An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.  
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/04/1999 RMAHAT 00000070 010025  
01 FC:105  
130.00 CH